

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ATTORNEY DOCKET NO. 065691-0208

Applicant:

Robert AMSON et al.

Title:

GENES INVOLVED IN THE MOLECULAR PATHWAYS OF

TUMOR SUPPRESSION AND/OR RESISTANCE TO VIRUSES

Appl. No.:

09/762,249

Filing Date: 02/05/2001

Examiner:

Ram R. Shukla

Art Unit:

1632

#### SECOND LETTER REQUESTING ACKNOWLEDGEMENT

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

The undersigned has noted that Applicants' Form PTO/SB/08 submitted with an Information Disclosure Statement on August 24, 2001, has not been acknowledged by the Examiner. Attached please find copies of the previously filed Information Disclosure Statement, International Search Report and U.S. PTO date-stamped postcard. Also attached are copies of our Letter Requesting Acknowledgment filed on December 3, 2004 and U.S. PTO date-stamped postcard.

The Examiner's immediate attention to this matter is respectfully requested. Please return the initialed form to the undersigned as soon as possible.

Respectfully submitted,

FOLEY & LARDNER LLP

Telephone:

Customer Number: 22428 (202) 672-5349

Facsimile:

(202) 672-5399

Stephen B. Maebius Attorney for Applicant Registration No. 35,264

#### IN THE UNITED STATES PAIN "AND TRADEMARK OFFICE

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### LETTER REQUESTING ACKNOWEDGEMENT

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

In reviewing the above-captioned application file upon Allowance, the undersigned has noted that Applicants' PTO Form SB08 submitted with their Disclosure Statement on August 24, 2001, has not been acknowledged by the Examiner.

The Examiner is, therefore, requested to return the initialed form to the undersigned as soon as possible.

Respectfully submitted,

Buc. 2, 2004

FOLEY & LARDNER LLP

Customer Number: 22428 Telephone:

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Stephen B. Maebius Attorney for Applicant

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt is hereby acknowledged of the following:

Applicant:

Albert Amson et al. 09/762,249

Serial No

Dkt. No.: 065691-0208

Filing Date: February 9, 2000

For: GENES INVOLVED IN THE MOLECULAR PATHWAYS OF TUMOR

OR DESIGNATION TO VIRUSES

Information Disclosure Statement SB-08B with 9 references

Date Filed: August 25/2001
Due Date: September 16, 2001

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### IN THE US PATENT AND TRADEMARK OFFICE

Title: GENES INVOLVED IN THE MOLECULAR PATHWAYS OF TUMOR SUPPRESSION AND/OR RESISTANCE TO VIRUSES Inventor(s): Robert AMSON et al. Dkt. No. 065691-0208 Appl. No.: 09/762,249 SBM

Letter Requesting Acknowledgement (1 p.).

Due Date: N/A
Date Filed: December 3, 2004 | P E | Attorney Initials: BAB/mdm |
Insta By: | SMANA

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Atty. Dkt. No. 065691-0208

#### PATENT AND TRADEMARK OFFICE

Applicant:

Albert Amson et al.

Title:

GENES INVOLVED IN THE MOLECULAR PATHWAYS OF TUMOR

SUPPRESSION AND/OR RESISTANCE TO VIRUSES

Appl. No.:

09/762,249

Filing Date: 02/05/2001

Examiner:

Unassigned

Art Unit:

Unassigned

#### **INFORMATION DISCLOSURE STATEMENT** UNDER 37 CFR § 1.56

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-SB-08B is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98. PCT/FR99/01479, filed 08/05/1998; 06/18/1999. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

TIMMES OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

#### RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO-SB-08B was cited as being relevant during the prosecution of the corresponding International application. A copy of the International Search Report is attached setting forth the portion of each document considered relevant by the examiner. An English-language counterpart of the foreign-language documents has been provided where readily available. The absence of a translation or an English-language counterpart document does not relieve the PTO from its duty to consider any submitted document (37 CFR §1.98 and MPEP§609).

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Stephen B. Maebius

Attorney for Applicant

Registration No. 35,264

Date August 23, 2001

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Date Submitted: August 23, 2001

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 09/762,249
Filing Date 02/05/2001
First Named Inventor Robert Amson, et al.

Group Art Unit Unassigned Examiner Name Unassigned

Sheet 1 of 1 Attorney Docket Number 065691-0208

U.S. PATENT DOCUMENTS						
Examiner Initials*		U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant
	Cite No. <sup>1</sup>	Number	Kind Code² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear

				F	<b>DREIGN PATENT DOCUMEN</b>	TS		
Examiner Initials*	Cite No.1	Fore Office <sup>3</sup>	Number	ument ind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
	A1	WIPO	97/22695	A2	TALERMAN et al.	06/26/1997		
	A2	WIPO	95/20654	A1	Willison, et al.	08/03/1995		
			OTH	R PRIOR A	ART – NON PATENT LITERATUR	RE DOCUMENTS		
Examiner Initials*	Cite No.1		de name of th	e author (i e, journal,	n CAPITAL LETTERS), title of serial, symposium, catalog, e iblisher, city and/or country wh	the article (when approto.) date, page(s), volun	· · · · · · · · · · · · · · · · · · ·	T-6
	A3	ROBERT B. AMSON, ET AL.; "Isolation of 10 Differentially Expressed cDNAs in P53-Induced Apoptosis: Activation of the Vertebrate Homologue of the <i>Drosophila</i> Seven in Absentia Gene"; Proc. Nat'l Acad. Sci. USA, Vol. 93, pp. 3953-3957; April 1996						
	A4	ROBERT STRAUSBERG, Ph.D.; "EST. H. Sapiens cDNA Clone Image: 1556458"; Database GENBANK 'Online!; Accession No. AA935282; June 23, 1998						
	A5	ROBERT STRAUSBERG, Ph.D.; "EST. H. Sapiens cDNA Clone Image: 1650253, Similar to T-complex Protein 1 (TCP-1) Epsilon Subunit", Database GENBANK 'Online!; Accession No.Al022498; June 19, 1998  MONA NEMANI et al.; "Activation of the Human Homologue of the <i>Drosophila sina</i> Gene in Apoptosis and Tumor Suppression"; Proc. Nat'l. Acad. Sci. USA, Vol. 93, pp. 9039-9042, August 1996						
	A6							
a	A7	JEAN-PIERRE ROPERCH, et al.; "Inhibition of Presenilin 1 Expression Is Promoted by p53 and p21WAF-  1 and Results in Apoptosis and Tumor Suppresion", Nature Medicine, Vol. 4, Number 7, pp. 835-838, July 1998						
	A8	DAVID ISRAELI, et al.; "A Novel p53-Inducible Gene, PAG608, Encodes a Nuclear Zinc Finger Protein Whole Overexpression Promotes Apoptosis"; The EMBO Journal, Vol. 16, No. 14, pp. 4384-4392, 1997  GUSTAVO LINARES-CRUZ, et al.; "p21WAF-1 Reorganizes the Nucleus in Tumor Suppression"; Proc. Nat'l Acad. Sci. USA; Vol. 95, pp. 1131-1135, February 1998						
	A9							

Examiner	Date	]
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

#### RAPPORT DE RECHERCHE INTERNATIONALE



de Internationale No ሴ... FR 99/01479

A. CLASSEMENT DE L'OBJET DE LA DEMANDE CIB 7 C12N15/12 C12N15/86

C07K16/32

C12Q1/68

C12N5/10 G01N33/50 C07K14/82 A61K31/70 C07K14/47 A61K38/17

Selon la classification internationale des brevets (CIB) ou à la fois selon la classification nationale et la CIB

#### B. DOMAINES SUR LESQUELS LA RECHERCHE A PORTE

Documentation minimale consultée (système de classification suivi des symboles de classement) C1B 7 C12N C07K C12Q G01N A61K

Documentation consultée autre que la documentation minimale dans la mesure où ces documents relevent des domaines sur lesquels a porté la recherche

Base de données électronique consultée au cours de la recherche internationale (nom de la base de données, et si réalisable, termes de recherche utilisés)

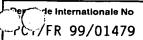
NTS CONSIDERES COMME PERTINENTS	
Identification des documents cités, avec, le cas échéant, l'indication des passages pertinents	no. des revendications visées
WO 97 22695 A (FONDATION JEAN DAUSSET CEPH; TELERMAN ADAM (FR); AMSON ROBERT (FR)) 26 juin 1997 (1997-06-26) abrégé page 1 -page 3 exemples 1,2 revendications 1-32 * remarquez que l'expression de gènes en amont, notamment p53, assure la régulation des gènes revendiqués ici *	16,18, 21,22 1-15,17, 19,20, 23-25
	WO 97 22695 A (FONDATION JEAN DAUSSET CEPH; TELERMAN ADAM (FR); AMSON ROBERT (FR)) 26 juin 1997 (1997-06-26) abrégé page 1 -page 3 exemples 1,2 revendications 1-32 * remarquez que l'expression de gènes en amont, notamment p53, assure la régulation des gènes revendiqués ici *

Voir la suite du cadre C pour la fin de la liste des documents	X Les documents de familles de brevets sont indiqués en annexe
"A" document définissant l'état général de la technique, non considéré comme particulièrement pertinent  "E" document antérieur, mais publié à la date de dépôt international ou après cette date  "L" document pouvant jeter un doute sur une revendication de priorité ou cité pour déterminer la date de publication d'une autre citation ou pour une raison spéciale (telle qu'indiquée)  "O" document se référant à une divulgation orale, à un usage, à une exposition ou tous autres moyens  "P" document publié ayant la date de dépôt international, mais	"T" document ultérieur publié après la date de dépôt international ou la date de priorité et n'appartenenant pas à l'état de la technique pertinent, mais cité pour comprendre le principe ou la théorie constituant la base de l'invention  "X" document particulièrement pertinent; l'inven tion revendiquée ne peut être considérée comme nouvelle ou comme impliquant une activité inventive par rapport au document considéré isolément  "Y" document particulièrement pertinent; l'inven tion revendiquée ne peut être considérée comme impliquant une activité inventive lorsque le document est associé à un ou plusieurs autres documents de même nature, cette combinaison étant évidente pour une personne du métier  "&" document qui fait partie de la même famille de brevets
Date à laquelle la recherche internationale a été effectivement achevée	Date d'expédition du présent rapport de recherche internationale
8 octobre 1999	21/10/1999
Nom et adresse postale de l'administration chargée de la recherche internationale Office Européen des Brevets, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Fonctionnaire autorisé  Galli, I

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## RAPPORT DE RECHERCHE INTERNATIONALE





C.(suite)	OCUMENTS CONSIDERES COMME PERTINENTS	
Catégorie	Identification des documents cités, avec, le cas échéant, l'indicationdes passages pertinents	no. des revendications visées
X	AMSON R B ET AL: "ISOLATION OF 10 DIFFERENTIALLY EXPRESSED CNDAS IN P53-INDUCED APOPTOSIS: ACTIVATION OF THE VERTEBRATE HOMOLOGUE OF THE DROSOPHILA SEVEN IN ABSENTIA GENE" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, vol. 93, no. 9, 30 avril 1996 (1996-04-30), pages 3953-3957, XP002032914 ISSN: 0027-8424	16,18, 21,22
X	WO 95 20654 A (CANCER RES INST ROYAL; WILLISON KEITH ROBERT (GB); KUBOTA HIROSHI) 3 août 1995 (1995-08-03) abrégé figure 8E revendications 1-28	1,8-20
x	DATABASE GENBANK 'Online! Accession No. AA935282, 23 juin 1998 (1998-06-23) STRAUSBERG R.: "EST; H. sapiens cDNA clone IMAGE:1556458" XP002104346 * comparez avec la séq. 2 du dossier soumis *	1,8-14
X	DATABASE GENBANK 'Online! Accession No. AI022498, 19 juin 1998 (1998-06-19) STRAUSBERG R.: "EST; H. sapiens cDNA clone IMAGE:1650253, similar to T-complex protein 1 (TCP-1) epsilon subunit." XP002104347 * comparez avec la séq. 1 du dossier soumis *	1,8-14
	NEMANI M. ET AL: "ACTIVATION OF THE HUMAN HOMOLOGUE OF THE DROSOPHILA SINA GENE IN APOPTOSIS AND TUMOR SUPPRESSION" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, vol. 93, no. 17, 20 août 1996 (1996-08-20), pages 9039-9042, XP000611649 cité dans la demande ————————————————————————————————————	1-25

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# RAPPORT DE RECHERCHE INTERNATIONALE

te Internationale No

		FR 99/01479		
C.(suite) DOCUMENTS CONSIDERES COMME PERTINENTS				
Catégorie °	identification des documents cités, avec,le cas échéant, l'indicationdes passages pertinents	no. des revendications visées		
A	ROPERCH J.P. ET AL.: "Inhibition of presentlin-1 expression is promoted by p53 and p21/WAF1 and results in apoptosis and tumor suppression." NATURE MEDICINE, vol. 4, no. 7, juillet 1998 (1998-07), pages 835-838, XP002104344 cité dans la demande le document en entier	1-25		
A	ISRAELI D. ET AL.: "A novel p53-inducible gene, PAG608, encodes a nuclear zinc finger protein whose overexpression promotes apoptosis." EMBO J., vol. 16, no. 14, 1997, pages 4384-4392, XP002104345 le document en entier	1-25		
A	LINARE-CRUZ G. ET AL.: "p21WAF-1 reorganizes the nucleus in tumor suppression" PROC. NATL. ACAD. SCI. USA, vol. 95, no. 3, 3 février 1998 (1998-02-03), pages 1131-1135, XP002118217 le document en entier	1-25		